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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br><b>Under 37 CFR 1.97(b), (c), or (d)</b>  |                                |                                     | Docket No.<br><b>A de Heer 7-2-2-1</b><br><b>LCNT/126187</b> |
| In re Application of: <b>Arjan de Heer et al.</b>   |                                |                                     |  |
| Serial No.<br><b>Not yet assigned</b>   | Filing Date<br><b>Herewith</b> | Examiner<br><b>Not yet assigned</b> | Group Art Unit<br><b>Not yet assigned</b>                    |
| Title: <b>METHOD AND APPARATUS FOR UPGRADING SOFTWARE IN NETWORK BRIDGES</b>  |                                |                                     |  |
| Address to:<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450<br><br><b>37 CFR 1.97(b)</b>  |                                |                                     |  |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                                |                                     |  |
| <b>37 CFR 1.97(c)</b>   |                                |                                     |  |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.  |                                |                                     |  |
| <b>37 CFR 1.97(d)</b>   |                                |                                     |  |
| 3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.  |                                |                                     |  |
| <b>Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)</b>  |                                |                                     |  |
| <input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))   |                                |                                     |  |
| <input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))                     |                                |                                     |  |
| <input type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiency to deposit account number 20-0782.  |                                |                                     |  |
| <b>37 CFR §1.704(d)</b>   |                                |                                     |  |
| 4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.   |                                |                                     |  |
| <br>Eamon J. Wall, Attorney<br>Reg. No. 39,414   |                                | Dated: <u>2/27/04</u>               |  |
| <b>Moser, Patterson &amp; Sheridan, LLP</b><br><b>Attorneys at Law</b><br><b>595 Shrewsbury Avenue, Suite 100</b><br><b>Shrewsbury, New Jersey 07702</b><br><b>732-530-9404</b>   |                                |                                     |  |



Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

Not yet assigned

Filing Date

Herewith

First Named Inventor

Arjan de Heer

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket Number

A de Heer 7-2-2-1

Submission Date

## **NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published   | T <sup>2</sup> |
|-----------------------|--------------------------|--|----------------|
|                       |                          | Part 3: Media Access Control (MAC) Bridges - Amendment 2: Rapid Reconfiguration, IEEE Std. 802.1w-2001 (Amendment to IEEE Std. 802.1D, 1998 Edition (ISO/IEC 15802-3:1998) and IEEE Std. 8802.1t-2001], IEEE, 2001; pp. i-108                                    |                |
|                       |                          | IEEE Standards for Local and Metropolitan Area Networks: Virtual Bridged Local Area Networks; IEEE Std. 802.1Q, 2003 Edition [incorporates IEEE Std. 802.1Q-1998, IEEE Std. 802.1u-2001, IEEE Std. 802-1v-2001, and IEEE Std 802.1s-2002]; 7 May 2003, pp. 1-312 |                |
|                       |                          | IEEE Standards for Local and Metropolitan Area Networks: Virtual Bridged Local Area Networks; IEEE Std. 801.8Q-1998  |                |
|                       |                          | "Using GVRP (Dynamic VLANs)", Hewlett-Packard Company, 2000.   |                |
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Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.